PROTON TRANSLOCATION IN HYDROGEN BONDS WITH LARGE PROTON POLARIZABILITY FORMED BETWEEN A SCHIFF BASE AND PHENOLS.

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# SUMMARY:

OH...N  $\rightleftharpoons$  O...H N hydrogen bonds formed between N-all-transretinylidene butylamine (Schiff base) and phenols (1:1) are studied by IR spectroscopy. It is shown that both proton limiting structures of these hydrogen bonds have the same weight with  $\Delta$  pK<sub>a</sub> (50%) = (pK<sub>a</sub> protonated Schiff base minus pK<sub>a</sub> phenol) = 5.5. With the largely symmetrical systems, continua demonstrate that these hydrogen bonds show great proton polarizability. In the Schiff base + tyrosine system in a non-polar solvent the residence time of the proton at the tyrosine residue is much larger than that at the Schiff base. In CH<sub>2</sub>CCl<sub>2</sub> these hydrogen bonds show, however, still proton polarizability, i.e., the position of the proton transfer equilibrium OH···N  $\rightleftharpoons$  O···H N is shifted to and fro as function of the nature of the environment of this hydrogen bond. Consequences regarding bacteriorhodopsin are discussed.

#### INTRODUCTION

N-all-trans-retinylidene butylamine - p-cresol complexes were studied by Kristoforov, Zvonkova and Evstigneeva (1) using IR and visible spectroscopy. They found that Schiff base - p-cresol complexes are formed, whereby in the 1:1 complexes the proton in the hydrogen bond is present at the p-cresol molecule. With very large excess of p-cresol, 1:2 complexes are formed and the proton in the (I)  $OH \cdots N \rightleftharpoons O^- \cdots H^+ N$  (II) bonds shifts to the base. From this result they suggested (1) that with the protonation of the chromophoric group of rhodopsin two proton donor groups must take part.

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We have shown (2)-(7) that hydrogen bonds of the type (I)  $B_1H\cdots B_2 \rightleftharpoons B_1\cdots H^+B_2$  (II), in which both proton limiting structures (I) and (II) have noticeable weight, show very large proton polarizabilities. Thus, in the case of such hydrogen bonds the proton may be shifted by their local environments to one or to the other side of the hydrogen bond.

Recently investigating the dark equilibria between different chromophores of bacteriorhodopsin, Fischer and Oesterhelt (8) proposed, as earlier postulated by Blatz, Mohler and Navangul (9), that the absorption spectrum of the retinyl Schiff base is modulated by the interaction with an anionic group. Furthermore, they observed (10) pH-changes with bacterioopsin during reconstitution and suggested on the basis of these results that the Schiff base may interact with two protonable amino acid residues, whereby an NH+···B hydrogen bond should be of particular significance. Finally, Oesterhelt and Lemke (11) observed that an equilibrium at the chromophore of bacteriorhodopsin depends on the nitration of the tyr 26 residue, i.e., on a change of the pK<sub>a</sub> value of this residue. On the basis of this result they suggest, that this residue participates in the chromophoric system.

Thus, a study on the nature of  $OH \cdots N \rightleftharpoons O^{-} \cdots H^{+}N$  bonds formed between a Schiff base and phenols seems of particular interest.

## RESULTS AND DISCUSSION

N-all-trans-retinylidene butylamine (Schiff base) with various phenols (1:1) were studied as function of the  $pK_a$  of the phenol in  $CCl_4$  solutions, using IR spectroscopy. All systems and results are summarized in the table.

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Table

Data of Schiff base + Phenol 1:1 Complexes

2	3				
	3	4	5	6	7
11.13 xx)	-4.14	95			
9.92 (14)	-3.93	96	9.2	0.10	+0.99
7.90 (14)	-1.91	86	15.5	0.18	+0.74
6.43 (14)	-0.44	96	18.0	0.22	+0.66
4.74 (14)	+1.25	98	27.2	0.37	+0.43
0.81 (14)	+5.18	<b>&gt;</b> 99	47.4	0.90	+0.045
	9.92 (14) 7.90 (14) 6.43 (14) 4.74 (14)	9.92 (14) -3.93 7.90 (14) -1.91 6.43 (14) -0.44 4.74 (14) +1.25	9.92 (14) -3.93 96 7.90 (14) -1.91 86 6.43 (14) -0.44 96 4.74 (14) +1.25 98	9.92 (14)     -3.93     96     9.2       7.90 (14)     -1.91     86     15.5       6.43 (14)     -0.44     96     18.0       4.74 (14)     +1.25     98     27.2	9.92 (14)       -3.93       96       9.2       0.10         7.90 (14)       -1.91       86       15.5       0.18         6.43 (14)       -0.44       96       18.0       0.22         4.74 (14)       +1.25       98       27.2       0.37

x)  $pK_a$  of the Schiff base 5.99 taken from ref.(12)

The Schiff base - phenol complex formation equilibria (col.4) were determined from the absorbance of the OH stretching vibration of the phenols at 3600 or 3540 cm<sup>-1</sup> (see fig.3). This band was calibrated from the spectra of solutions of the pure phenols.

The proton-transfer equilibria in the  $OH \cdots N \rightleftharpoons O^- \cdots H^+ N$  hydrogen bonds in these complexes are determined from the integrated absorbance of the C=N stretching vibration of the protonated Schiff base observed at 1655 cm<sup>-1</sup>. This band is shown for the various complexes in fig.1b-1f, indicated by an arrow. The integrated absorbance of this band was calibrated using the integrated absorbance of the Schiff base - HCl system, fig.1g, in which 100% transfer of the proton to the Schiff base occurs. The percentage proton transfer to the Schiff base is given in col.5 and the equilibrium constant in col.6. These values are plotted as function of the  $\triangle pK_a$ , i.e.  $pK_a$  of the protonated Schiff base minus  $pK_a$  of the phenols. The  $pK_a$  of the Schiff base is taken from ref.(12) amounting to 5.99, and

xx) pK<sub>a</sub> value of tyrosine taken from ref.(13)

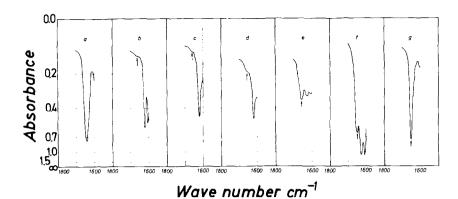


Fig. 1 VC=N band of N-all trans retinalidine butylamine when a proton is present at the N atom (band indicated with an arrow).

a) Schiff base + protected tyrosine (1:1) ( $\nu$  C=N band masked by the intense band of the protecting groups, b) Schiff base + phenol (1:1), c) Schiff base + 2,4-dichlorophenol (1:1), d) Schiff base + 2,3,5-tricholophenol (1:1), e) Schiff base + pentachlorophenol (1:1), f) Schiff base + 2,4,6-trinitrophenol (1:1), g) Schiff base + HCl (1:1).

the pK<sub>a</sub> values of the phenols given in col.2 are taken from ref.(14). In fig.2a the percentage proton transfer (PT) is plotted as function of the  $\Delta$ pK<sub>a</sub>, and in fig.2b, according to Huyskens (15), -log K<sub>PT</sub> as function of the  $\Delta$ pK<sub>a</sub>. Both plots show that with the Schiff base + phenol systems in CCl<sub>4</sub> 50% transfer of the proton to the Schiff base occurs at  $\Delta$ pK<sub>a</sub><sup>50%</sup> = 5.5.

In the IR spectra of the Schiff base + 2,3,5 trichlorophenol and of the Schiff base + pentachlorophenol system in fig.3b and 3c a comparison of the spectra of the complexes with those of the pure phenols or the pure Schiff base shows that IR continua occur. These continua begin with a band-like structure in the region 3000 - 2500 cm<sup>-1</sup> and extend towards smaller wave numbers. This spectral feature is particularly characteristic for phenol - amine hydrogen bonds (6). These continua demonstrate (2)-(6) that the OH···N  $\rightleftharpoons$  O···H N bonds in these systems show large proton polarizabilities.

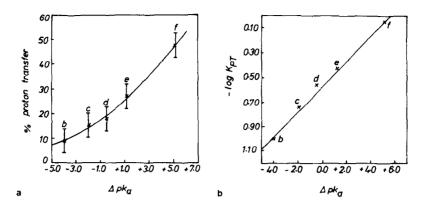


Fig. 2 (c)-(f) numbers of the systems in fig. 1.

- a) Percentage proton transfer as function of the  $\Delta$  pK a (pK a protonated base pK a phenol)
- b) log  $K_{p_{\overline{M}}}$  (proton transfer equilibrium constant) as function of the  $\Delta\,\text{pK}_{a}$

This result is in good agreement with the fact that both proton limiting structures of these hydrogen bonds have noticeable weight, i.e., with these systems the degree of asymmetry is not very large and the protons fluctuate in these hydrogen bonds.

Fig.3a shows the spectrum of the Schiff base + protected tyrosine (N-acetyl-L-tyrosine ethylester), i.e., tyrosine having protecting groups at its  $\alpha$ -carboxylate and  $\alpha$ -amino groupings. In this spectrum a continuum is still observed but it is much less intense. This result demonstrates that the OH···N  $\rightleftharpoons$  O···H<sup>+</sup>N bonds between tyrosine and the Schiff base show still proton polarizability but this polarizability is much smaller than with the systems discussed above. This result is in good agreement with the shape of the proton potentials in tyrosine - Schiff base systems discussed in the following. The position of the proton transfer equilibrium cannot directly be determined in this system since  $\nu$ C=N is masked by an intense band of the protecting groups (fig.1a). The  $\Delta$ pK<sub>a</sub> value in this sys-

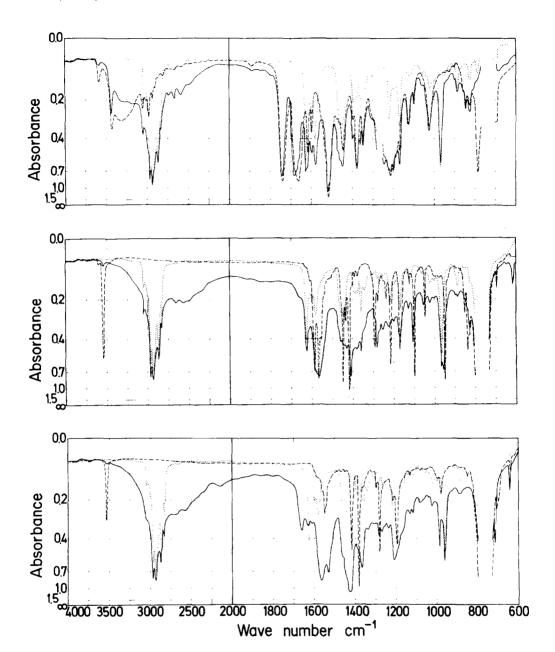


Fig. 3 IR spectra of solutions (layer thickness 50  $\mu m$ )

- a) Solutions in CH<sub>2</sub>Cl<sub>2</sub>; · · · pure Schiff base (0.5 M), --- pure protected tyrosine (0.5 M), --- Schiff base (0.5 M) + protected tyrosine (0.5 M)
- b) Solutions in CCl $_4$ ; ... pure Schiff base (0.5 M), --- pure 2,3,5 trichlorophenol (0.5 M), --- Schiff base (0.5 M) + 2,3,5 trichlorophenol (0.5 M)
- c) Solutions in CCl<sub>4</sub>; · · · pure Schiff base (0.5 M),
  --- pentachlorophenol (0.2 M); --- Schiff base (0.5 M)
  + pentachlorophenol (0.5 M)

tem, however, amounts to -4.14. Using this value, fig.2a shows that the non-polar proton limiting structure OH···N has much larger weight. Thus, in the 1:1 mixture in CH<sub>2</sub>Cl<sub>2</sub> solution the residence time of the proton is much larger at the tyrosine than at the N-atom of the Schiff base.

# III. CONCLUSIONS

With retinylidene Schiff base + phenol systems, 1:1 in  $CCl_4$ , 50% transfer of the proton occurs at a  $\Delta pK_a^{50\%} = 5.5$ . The OH···N  $\rightleftharpoons$  O···H<sup>+</sup>N hydrogen bonds found in these systems show large proton polarizability when these systems are largely symmetrical.

With regard to bacteriorhodopsin the Schiff base + tyrosine system is of particular interest. If in this system the  $OH \cdot \cdot \cdot N \rightleftharpoons O^- \cdot \cdot \cdot H^+ N$  bond formed between Schiff base and tyrosine is present in a solvent of low polarity, the residence time of the proton is much larger at the tyrosine residues. This hydrogen bond shows, however, still proton polarizability. Due to this property, the position of this equilibrium is influenced by the polarity of the local environments. Thus, due to the local fields, the proton in this hydrogen bond can be shifted to the tyrosine residue as to the Schiff base.

This explains the result of Kristoforov et al.(1) that in the Schiff base + p-cresol system additional p-cresol shifts the OH···N  $\rightleftharpoons 0^- \cdots H^+ N$  equilibrium in favor of the polar proton limiting structure  $0^- \cdots H^+ N$ , since it is known from many publications (5)(6)(16)-(19) that in hydrogen bonds with great proton polarizability caused by polar environments (in ref.(1) addition of large amounts of p-cresol) the equilibria are shifted in favor of the polar structure  $B_1^- \cdots H^+ B_2$ .

Oesterhelt and Lemke (11) have shown that with bacteriorhodopsin the residue tyr 26 may be involved in the chromophoric structure. Our molecular model built according to the structure of bacteriorhodopsin evaluated by Ovchinnikov et al.(20) may suggest the hypothesis that this tyrosine residue becomes deprotonated in the first step of the photocycle. Our results show that this tyrosine residue could be reprotonated by the protonated Schiff base when the intermediate M<sub>412</sub> is formed, since, when the environment of this hydrogen bond is not too polar, the residence time of the proton in Schiff base - tyrosine hydrogen bonds is much greater at the tyrosine residue than at the Schiff base.

## EXPERIMENTAL PART

N-all-trans-retinylidene butylamine was prepared according to the procedure given in ref.(1). Retinal, as well as the protected tyrosine, i.e., N-acetyl-L-tyrosine ethylester were purchased from Serva, Heidelberg, West Germany.

IR-measurements: For all measurements a cell with sodium chloride windows with 50 um layer thickness was used. The IR bands of the solvents were compensated by a cell with adjustable layer thickness in the reference beam. The measurements were performed with a Perkin Elmer spectrophotometer, model 325, Bodenseewerk Perkin-Elmer, Überlingen, West Germany.

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